



01/11/02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

J1017 U.S. PTO  
10/042229  
01/11/02

Applicant: Shuichi FURUYA et al.  
Title: NITROGEN-CONTAINING HETEROCYCLIC COMPOUNDS,  
THEIR PRODUCTION AND USE

Prior Appl. No.: 09/711,139

Prior Appl. Filing Date: 11/14/2000

Examiner: Unassigned

Art Unit: Unassigned

**CONTINUING PATENT APPLICATION**  
**TRANSMITTAL LETTER**

Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a:

☐ Continuation ☒ Division ☐ Continuation-In-Part (CIP)

of the above-identified copending prior application in which no patenting, abandonment, or termination of proceedings has occurred. Priority to the above-identified prior application is hereby claimed under 35 U.S.C. § 120 for this continuing application. The entire disclosure of the above-identified prior application is considered as being part of the disclosure of the accompanying continuing application and is hereby incorporated by reference therein.

☐ Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (146 pages).
- ☐ Informal drawings (??? sheets, Figures 1-???).
- ☒ Copy of Declaration and Power of Attorney (2 pages).
- ☒ Copy of Assignment of the invention to TAKEDA CHEMICAL INDUSTRIES, LTD..
- ☐ Assignment Recordation Cover Sheet.

- ☐ Small Entity statement.
- ☐ Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).
- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of ??? listed reference(s).
- ☒ Preliminary Amendment.
- ☒ Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$740.00	\$740.00
Total Claims:	39	- 20	= 19	x \$18.00	= \$342.00
Independents:	2	- 3	= 0	x \$84.00	= \$0.00
If any Multiple Dependent Claim(s) present:			+	\$280.00	= \$0.00
				SUBTOTAL:	= \$1082.00
<input type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):					= \$0.00
				TOTAL FILING FEE:	= \$1,082.00
				TOTAL FEE	= \$1,082.00

- ☒ A check in the amount of \$1,1082.00 to cover the filing fee is enclosed.
- ☐ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date January 11, 2002

By



FOLEY & LARDNER  
Customer Number: 22428

Stephen B. Maebius  
Attorney for Applicants  
Registration No. 35,264

**\*22428\***

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PATENT TRADEMARK OFFICE

Telephone: (202) 672-5569

Facsimile: (202) 672-5399

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